

Around domination, orthogonality and parallelism in superstable MAECs



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Abstract

In this work we present some results on Geometric Stability Theory in superstable Metric Abstract Elementary Classes.

Metric AECs

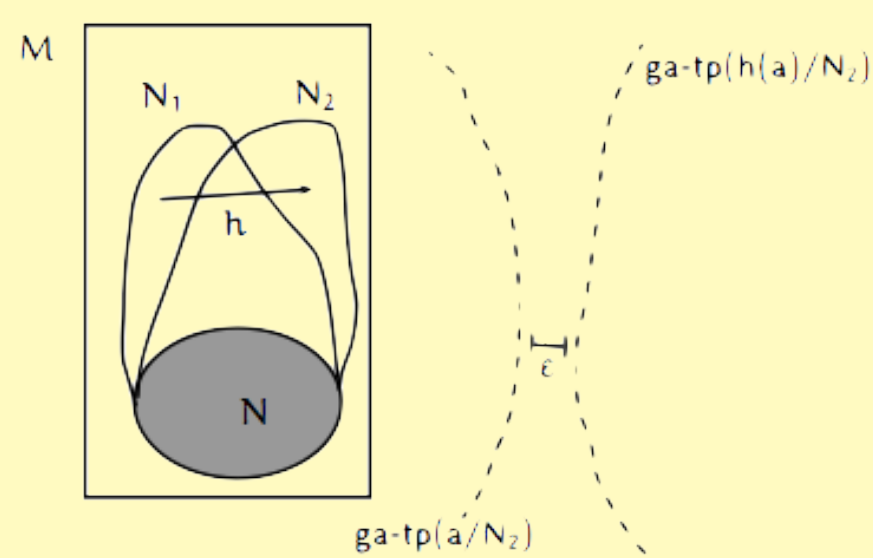
Metric Abstract Elementary Classes (shortly MAEC) is the metric version of the notion of Abstract Elementary Classes.

Definition 0.1. Let \mathcal{K} be a class of L-structures (in the context of Continuous Logic) and $\prec_{\mathcal{K}}$ be a binary relation defined in \mathcal{K} . We say that $(\mathcal{K}, \prec_{\mathcal{K}})$ is a *Metric Abstract Elementary Class* (shortly *MAEC*) if:

- \mathcal{K} and $\prec_{\mathcal{K}}$ are closed under isomorphism.
- $\prec_{\mathcal{K}}$ is a partial order in \mathcal{K} .
- If $M \prec_{\mathcal{K}} N$ then $M \subseteq N$.
- (Completion of Union of Chains) If $(M_i : i < \lambda)$ is a $\prec_{\mathcal{K}}$ -increasing chain then
 - the function symbols in L can be uniquely interpreted on the completion of $\bigcup_{i < \lambda} M_i$ in such a way that $\overline{\bigcup_{i < \lambda} M_i} \in \mathcal{K}$
 - for each $j < \lambda$, $M_j \prec_{\mathcal{K}} \overline{\bigcup_{i < \lambda} M_i}$
 - if each $M_i \prec_{\mathcal{K}} N$, then $\overline{\bigcup_{i < \lambda} M_i} \prec_{\mathcal{K}} N$.
- (Coherence) If $M_1 \subseteq M_2 \prec_{\mathcal{K}} M_3$ and $M_1 \prec_{\mathcal{K}} M_3$, then $M_1 \prec_{\mathcal{K}} M_2$.
- (DLS) There exists a cardinality $LS^d(\mathcal{K})$ (which is called the *metric Löwenheim-Skolem number*) such that if $M \in \mathcal{K}$ and $A \subseteq M$, then there exists $N \in \mathcal{K}$ such that $dc(N) \leq dc(A) + LS^d(\mathcal{K})$ and $A \subseteq N \prec_{\mathcal{K}} M$.

ε -splitting and s-independence

Definition 0.2 (ε -splitting). Let $N \prec_{\mathcal{K}} M$ and $\varepsilon > 0$. We say that $ga\text{-tp}(a/M)$ ε -splits over N iff there exist N_1, N_2 with $N \prec_{\mathcal{K}} N_1, N_2 \prec_{\mathcal{K}} M$ and $h : N_1 \cong_N N_2$ such that $d(ga\text{-tp}(a/N_2), h(ga\text{-tp}(a/N_1))) \geq \varepsilon$. We use $a \downarrow_{N, \varepsilon}^M M$ to denote the fact that $ga\text{-tp}(a/M)$ does not ε -split over N .



Definition 0.3. Let $N \prec_{\mathcal{K}} M$. Fix $\mathcal{N} := \langle N_i : i < \sigma \rangle$ a resolution of N . We say that a is *s-independent* from M over N relative to \mathcal{N} (denoted by $a \downarrow_{\mathcal{N}}^M M$) iff for every $\varepsilon > 0$ there exists $i_\varepsilon < \sigma$ such that $a \downarrow_{N_{i_\varepsilon}}^M M$.

Properties s-Independence

Under stability, s-independence satisfies nice properties (see [ViZa1])

- Invariance: If $f \in \text{Aut}(\mathbb{M})$ and $a \downarrow_{\mathcal{N}}^M M$, then $f(a) \downarrow_{f[\mathcal{N}]}^{f[M]} f[M]$
- Monotonicity: If $a \downarrow_{M_0}^{M_0} M_3$, $M_0 \prec_{\mathcal{K}} M_1 \prec_{\mathcal{K}} M_2 \prec_{\mathcal{K}} M_3$ and $M_0 \prec_{\mathcal{K}} M_1$, then $a \downarrow_{M_1}^{M_1} M_2$.
- Stationarity: If $a \downarrow_{\mathcal{N}}^M M$, M is universal over N and $M \prec_{\mathcal{K}} M'$, there exists $b \models ga\text{-tp}(a/M)$ such that $b \downarrow_{\mathcal{N}}^{M'} M'$, and this extension is unique.
- Transitivity: Let $M_0 \prec_{\mathcal{K}} M_1 \prec_{\mathcal{K}} M_2$ be such that M_1 and M_0 are limit over some $M' \prec_{\mathcal{K}} M_0$ (witnessed by M_0 and M_1 respectively, where $M_0 \subseteq M_1$). Then $a \downarrow_{M_0}^{M_0} M_2$ iff $a \downarrow_{M_0}^{M_0} M_1$ and $a \downarrow_{M_1}^{M_1} M_2$.
- Continuity: If $(b_n) \rightarrow b$ in \mathbb{M} and $b_n \downarrow_{\mathcal{N}}^N M$ for all $n < \omega$, then $b \downarrow_{\mathcal{N}}^N M$.

Superstability in first order theories

Fact 0.4. Given T a first order theory, the following are equivalent:

- T is superstable
- $\kappa(T) = \aleph_0$
- Union of an \prec -increasing chain of saturated models is saturated.
- Uniqueness (up to isomorphism) of limit models.

Superstability-like assumptions

Assumption 0.5 (superstability). For every a and every increasing and continuous $\prec_{\mathcal{K}}$ -chain of models $\langle M_i : i < \sigma \rangle$ and M_j a resolution of M_j ($j < \sigma$):

- (continuity) If $p \upharpoonright M_i \downarrow_{M_0}^{M_0} M_i$ for all $i < \sigma$, then $p \downarrow_{M_0}^{M_0} \bigcup_{i < \sigma} M_i$.
- (locality) If $cf(\sigma) > \omega$, there exists $j < \sigma$ such that $a \downarrow_{M_j}^{M_j} \bigcup_{i < \sigma} M_i$.
- (ε -simplicity) If $cf(\sigma) = \omega$, there exists $j < \sigma$ such that $a \downarrow_{M_j}^{\varepsilon} \bigcup_{i < \sigma} M_i$.

Fact 0.6 (Uniqueness of Limit Models). If M_i is a (μ, θ_i) -d-limit ($i \in \{1, 2\}$) over M where $dc(M_1) = dc(M_2)$, then $M_1 \cong_M M_2$.

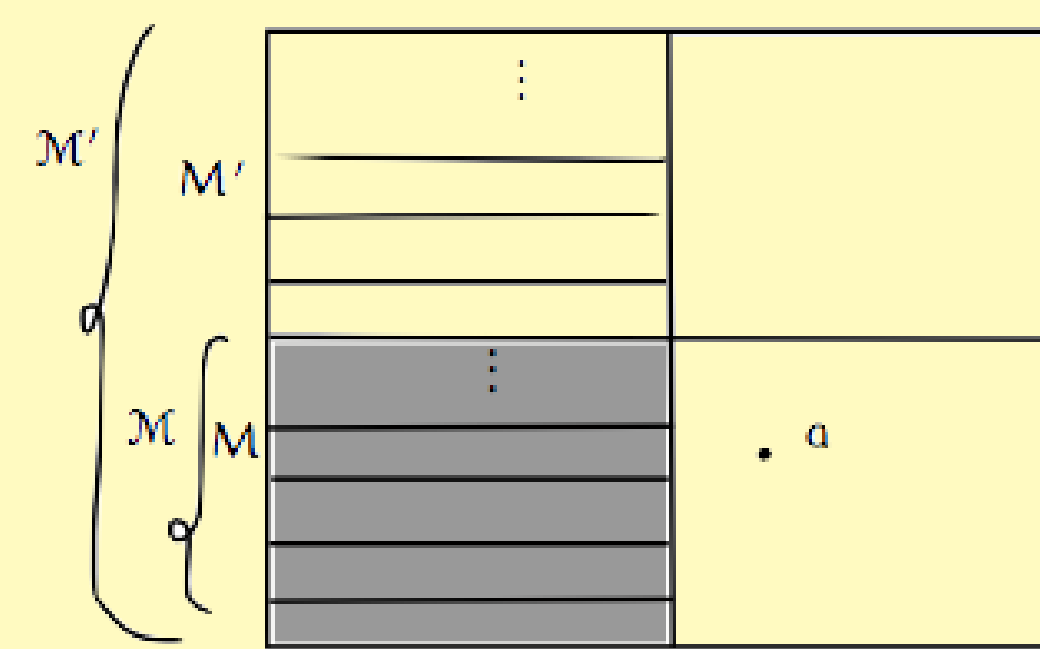
Proof. This is a consequence of assumption 0.5, following the same sketch of the proof given in [GrVaVi], but we have to point out that the details of the proof in this setting are quite different (see [ViZa2]). The key idea is to prove that given any $\theta < \mu^+$, there exists a (μ, θ) -limit model which is also a (μ, ω) -limit model. \square

Domination (1)

Throughout the rest of this poster, we suppose assumption 0.5, so uniqueness of limit models holds (fact 0.6).

Notation 0.7. (M, \mathcal{M}, N, a) means that $M \prec_{\mathcal{K}} N$, M is a limit model witnessed by \mathcal{M} and $a \in N \setminus M$.

Definition 0.8. We say that $(M, \mathcal{M}, N, a) \prec_{nf}$ $(M', \mathcal{M}', N', a)$ iff M' is a limit model over M , $\mathcal{M} \subseteq \mathcal{M}'$ and \mathcal{M} corresponds to an initial segment of \mathcal{M}' , $N \prec_{\mathcal{K}} N'$ and $a \downarrow_{M}^M M'$.



Definition 0.9. Given (M, \mathcal{M}, N, a) , we say that a *dominates* N over M relative to \mathcal{M} (denoted by $a \triangleright_{\mathcal{M}}^M N$) iff for every $(M', \mathcal{M}', N', a) \succ_{nf} (M, \mathcal{M}, N, a)$ we have that $N \downarrow_{M}^M M'$ (i.e., for every $b \in N \setminus M$ $b \downarrow_{M}^M M'$).

Domination (2)

Proposition 0.10. Given (M, \mathcal{M}, N, a) there exists $(M', \mathcal{M}', N', a) \succ_{nf} (M, \mathcal{M}, N, a)$ such that $a \triangleright_{\mathcal{M}'}^{M'} N'$.

Proof. Suppose not. This allows us to construct an \prec_{nf} -increasing and continuous sequence of tuples $\langle (M^\alpha, \mathcal{M}^\alpha, N^\alpha, a) : \alpha < \mu^+ \rangle$ such that $(M^0, \mathcal{M}^0, N^0, a) := (M, \mathcal{M}, N, a)$ and $(M^{\alpha+1}, \mathcal{M}^{\alpha+1}, N^{\alpha+1}, a)$ witnesses that $(M^\alpha, \mathcal{M}^\alpha, N^\alpha, a)$ does not satisfy that $a \triangleright_{\mathcal{M}^\alpha}^{M^\alpha} N^\alpha$. Using locality (assumption 0.5 2.), continuity and monotonicity of s-independence, we get a contradiction. \square

Proposition 0.11. Suppose $(M, \mathcal{M}, N, a) \prec_{nf}$ $(M', \mathcal{M}', N', a)$, where M is a limit model (witnessed by $\mathcal{M} := \{M_i : i < \sigma\}$) and M' is a limit model over M (witnessed by \mathcal{M}''), $a \downarrow_{M_\alpha}^{M_\alpha} M$ for some limit $\alpha < \sigma$ and $a \triangleright_{\mathcal{M}'}^{M'} N'$, where $\mathcal{M}' := \mathcal{M} \cup \mathcal{M}''$. Then, there exist N^* and a resolution \mathcal{M}^* which witnesses that M is a limit model over M_0 such that $a \triangleright_{\mathcal{M}^*}^{M^*} N^*$.

Proof. Let $p := ga\text{-tp}(a/M)$ and $p' := ga\text{-tp}(a/M')$. It is straightforward to see that $a \downarrow_{M_\alpha}^{M_\alpha} M'$. Notice that M and M' are limit over $M_{\alpha+1} \succ_{\mathcal{K}} M_\alpha$. By fact 0.6, there exists $f : M' \xrightarrow{\cong} M_{\alpha+1} M$. By invariance, $f(a) \downarrow_{M_\alpha}^{M_\alpha} M$. Notice that $M_{\alpha+1}$ is universal over M_α . Therefore, since $ga\text{-tp}(a/M_{\alpha+1}) = ga\text{-tp}(f(a)/M_{\alpha+1})$ and $a, f(a) \downarrow_{M_\alpha}^{M_\alpha} M$, by stationarity we may say $ga\text{-tp}(a/M) = ga\text{-tp}(f(a)/M)$. Let $g \in \text{Aut}(\mathbb{M}/M)$ be such that $(g \circ f)(a) = a$. Notice that

$$(g \circ f)(M', \mathcal{M}', N', a) = (M, (g \circ f)[\mathcal{M}'], (g \circ f)[N'], a)$$

satisfies $a \triangleright_{\mathcal{M}^*}^{M^*} N^*$, where $N^* := (g \circ f)[N']$ and $\mathcal{M}^* := (g \circ f)[\mathcal{M}'] = f[\mathcal{M}']$. \square

Domination (3)

Corollary 0.12. Given (M, \mathcal{M}, a, N) such that $a \downarrow_{M_\alpha}^{M_\alpha} M$ for some $M_\alpha \in \mathcal{M}$, there exist N^* and a resolution \mathcal{M}^* which witnesses that M is a limit model over M_0 such that $a \triangleright_{\mathcal{M}^*}^{M^*} N^*$.

Weak Orthogonality

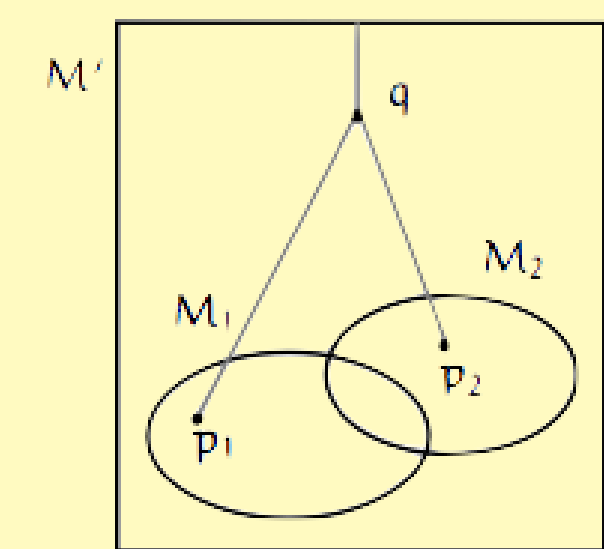
Definition 0.13. Let M be a limit model witnessed by $\mathcal{M} := \{M_i : i < \theta\}$, $p, q \in ga\text{-S}(M)$ be non-algebraic types such that $p, q \downarrow_{M_\alpha}^{M_\alpha} M$ for some limit $\alpha < \theta$ where $M_\alpha \subseteq M$. We say that p is *weak orthogonal* to q (denoted by $p \perp^{wk} q$) iff given (M, \mathcal{M}, N, b) where $b \models q$ and $p' \in ga\text{-S}(N)$ any extension of p , then $p' \downarrow_{M}^M N$.

Strong Limit types

Definition 0.14 (strong limit type). Let M be a σ -limit model $\mathfrak{S}\mathfrak{L}(M) := \left\{ (p, N) : \begin{array}{l} N \prec_{\mathcal{K}} M \\ N \text{ is a } \theta\text{-limit model} \\ M \text{ is a limit model over } N \\ p \in ga\text{-S}(M) \text{ is non-algebraic} \\ \text{and } p \downarrow_N^{\mathcal{N}} M \\ \text{for some resolution } \mathcal{N} \text{ of } N. \end{array} \right\}$

Parallelism of Strong Limit types (1)

Definition 0.15 (Parallelism). Two strong types $(p_1, N_1) \in \mathfrak{S}\mathfrak{L}(M_1)$ ($l \in \{1, 2\}$) are said to be *parallel* (which we denote by $(p_1, N_1) \parallel (p_2, N_2)$) iff for every $M' \succ_{\mathcal{K}} M_1, M_2$ with density character μ , there exists $q \in ga\text{-S}(M')$ which extends both p_1 and p_2 and $q \downarrow_{N_l}^{\mathcal{N}_l} M'$ ($l \in \{1, 2\}$) (where \mathcal{N}_l is the resolution of N_l which satisfies $p_l \downarrow_{N_l}^{\mathcal{N}_l} M_l$). If there is no any confusion, we denote it by $p_1 \parallel p_2$.



Parallelism of Strong Limit types (2)

Fact 0.16. \parallel is an equivalence relation.

Fact 0.17. 1. Given $p, q \in ga\text{-S}(M)$, \mathcal{M} a resolution of M which witnesses that M is a limit model such that $p, q \downarrow_{M_\alpha}^{M_\alpha} M$ and $f : M \cong N$ is an isomorphism, then $p \perp^{wk} q \Leftrightarrow f(p) \perp^{wk} f(q)$.

2. If $N \succ_{\mathcal{K}} M$ is limit over M (and in particular over $M_{\alpha+1}$), given $p, q \in ga\text{-S}(N)$ such that $p, q \downarrow_{M_\alpha}^{M_\alpha} N$, $p \perp^{wk} q$ iff $p \upharpoonright M \perp^{wk} q \upharpoonright M$.

3. If $N \succ_{\mathcal{K}} M$ is limit over M (and in particular over M_1) and $p_1, p_2 \in ga\text{-S}(M)$ and $q_1, q_2 \in ga\text{-S}(N)$ satisfy $p_i \parallel q_i$ ($i \in \{1, 2\}$), then $p_1 \perp^{wk} p_2$ iff $q_1 \perp^{wk} q_2$.

Proof of 2. Since M and N are limit models over $M_{\alpha+1}$, by corollary 0.6 there exists $f : M \cong_{M_{\alpha+1}} N$. Notice that $p \upharpoonright M_{\alpha+1} = f(p \upharpoonright M_{\alpha+1}) \subseteq f(p \upharpoonright M)$ and $q \upharpoonright M_{\alpha+1} = f(q \upharpoonright M_{\alpha+1}) \subseteq f(q \upharpoonright M)$. Since $p \upharpoonright M_{\alpha+1} = f(p \upharpoonright M_{\alpha+1}) \downarrow_{M_\alpha}^{M_\alpha} M_{\alpha+1}$ and $f(p \upharpoonright M) \supseteq p \upharpoonright M_{\alpha+1}$ satisfies $f(p \upharpoonright M) \downarrow_{M_\alpha}^{M_\alpha} N$ (by monotonicity and invariance) and $p \downarrow_{M_\alpha}^{M_\alpha} N$, then by stationarity (notice that $M_{\alpha+1}$ is universal over M_α) we have that $f(p \upharpoonright M) = p$. In a similar way we can prove $f(q \upharpoonright M) = q$. By fact 0.17 (1) we have $p \upharpoonright M \perp^{wk} q \upharpoonright M$ iff $p \perp^{wk} q$. \square

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